

JFW

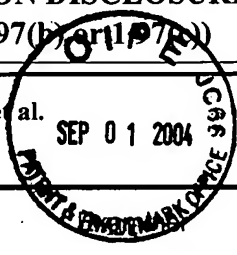
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

17640

In Re Application Of: Masatoshi Homan, et al.



Application No.

Filing Date

Unassigned

Customer No.

Group Art Unit

Confirmation No.

10/823,832

April 14, 2004

23389

Unassigned

Unassigned

Title: CAPSULE ENDOSCOPE APPARATUS

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

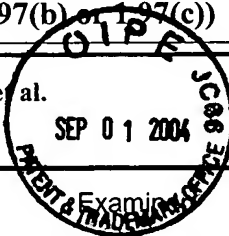
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

17640

In Re Application: Masatoshi Homan, et al.



Application No.

10/823,832

Filing Date

April 14, 2004

Examining

Unassigned

Customer No.

23389

Group Art Unit

Unassigned

Confirmation No.

Unassigned

CAPSULE ENDOSCOPE APPARATUS**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____)	
_____ (Date)	_____ Signature
_____ Typed or Printed Name of Person Signing Certificate	

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 30, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
_____ Signature	
Thomas Spinelli	
_____ Typed or Printed Name	

*This certificate may only be used if paying by deposit account.

Signature

Dated: August 30, 2004

Thomas Spinelli

Registration No. 39,533

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

Garden City, NY 11530

(516) 742-4343

TS:jam

cc:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

17640

In Re Application Of: Masatoshi Homan, et al.

SEP 01 2004

Application No.

10/823,832

Filing Date

April 14, 2004

Unassigned

Customer No.

23389

Group Art Unit

Unassigned

Confirmation No.

Unassigned

Title: CAPSULE ENDOSCOPE APPARATUS

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Masatoshi Homan, et al.

Examiner: Unassigned

Serial No.: 10/823,832

Art Unit: Unassigned

Filed: April 14, 2004

Docket: 17640

For: CAPSULE ENDOSCOPE APPARATUS

Dated: August 30, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Publication No. WO 03/009739 A2, published February 6, 2003 together with corresponding U.S. Patent Application Publication No. US 2003/0117491, published June 26, 2003;
2. Japanese Patent Application Publication No. 2000-125185, published April 28, 2000 together with English-language Abstract;
3. Japanese Patent Application Publication No. 2000-262369, published September 26, 2000, together with English-language Abstract;
4. Japanese Patent Application Publication No. 11-155808, published June 15, 1999, together with English-language Abstract and corresponding European Patent Application No. EP 0 912 047 A2, published April 28, 1999;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 30, 2004.

Dated: August 30, 2004

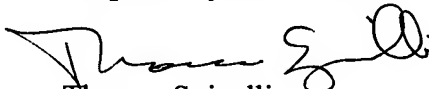

Thomas Spinelli

5. Japanese Patent Application Publication No. 2003-38425, dated February 12, 2003, together with English-language Abstract and corresponding U.S. Patent Application Publication No. US 2003/0023150, published January 30, 2003; and
6. Japanese Patent Application Publication No. 2003-265405, dated September 24, 2003, together with English-language Abstract and corresponding U.S. Patent Application Publication No. US 2003/0174208, published September 18, 2003 and European Patent Application No. EP 1 326 432 A2, published July 9, 2003.

The references were cited in the International Search Report of a corresponding International PCT Application dated August 10, 2004. Applicants are submitting copies of the above-cited references together with a copy of the Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,


Thomas Spinelli
Registration No. 39,533

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

TS:jam

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

SEP 01 2004

Docket Number (Optional)
17640

Application Number
10/823,832

Applicant(s)
Masatoshi Homan, et al.

Filing Date
April 14, 2004

Group Art Unit
Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0117491 A1	6/26/2003	Avni et al.			
		2003/0023150 A1	1/30/2003	Yokoi et al.			
		2003/0174208	9/18/2003	Glukhovsky et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 03/009739A2	2/6/2003	PCT				
		2000-125185	4/28/2000	Japan				
		2000-262369	9/26/2000	Japan				
		11-155808	6/15/1999	Japan				
		EP 0 912 047 A2	4/28/1999	Europe				
		2003-038425	2/12/2003	Japan				
		2003-265405	9/24/2003	Japan				
		EP 1 326 432 A2	7/9/2003	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

--	--	--

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.